#### **Curso Intensivo sobre Asimilación de Datos**

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# **Remote Sensing: Introduction**

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# Why Remote Sensing?

Satellite remote sensing is an important complementary tool for observing Earth's atmosphere and land and ocean surfaces, especially where in-situ observations are scarce or nonexistent.

#### **Global Radiosonde Network**



#### Launch location of weather ballons (Radiosonde) – Note the low density over ocean

# **Importance of Satellite Observations**

 ✓ Atmospheric temperature and moisture soundings provide critical information for forecasting weather and monitoring climate and climate change.

 ✓ Before satellites, weather balloons were the primary source of atmospheric sounding information (vertical profiles of the atmosphere)

✓ First satellite to provide soundings was Nimbus III, 1969

✓ Satellite instruments technology has improved significantly over the past decade:

- Hyperspectral IR observation for improved soundings
- Altimetry for deriving ocean height
- Scatterometry for ocean wind vectors
- L-Band microwave for soil moisture and ocean salinity

#### The space-based Global Observing System (GOS)



The satellite programme of each individual satellite agency participating in the GOS contribute to a globally optimized and robust observation system.

Operational Geoesatationary (Geo) satellites Operational Low Earth Orbit (LEO) satellites Environmental Research and Development Research (R&D) satellites

http://www.wmo.ch/pages/prog/sat/Satellites.html

# Global Coverage from a Single Satellite in Polar Orbit

- Nearly complete global coverage from satellite data
- Temporal refresh range from 12 hours near the Equator and 1 – 2 hours near the poles



# Global nominal planning for operational geostationary satellites



 The nominal constellation of operational geostationary satellites includes 6 spacecraft to ensure full coverage from 50°S to 50°N with a zenith angle lower than 70°.

# **Satellite Products**

#### Atmosphere

- Temperature soundings
- Moisture soundings
- Winds
- Clouds
- Aerosols
- Earth radiation budget
- Precipitation
- Ozone

#### Ocean

- Surface temperatures
- Ice cover
- Surface winds
- Color
- Sea level

#### Land

- Vegetation conditions
- Snow pack characteristics (albedo, skin temperature, soil wetness, etc.)
- Fire locations/Smoke Plumes

# **Remote Sensing Principles**

- The term "remote sensing" describe the science and art of identifying, observing, and measuring a system without coming into direct contact with it.
- This process involves the detection and measurement of radiation at various wavelengths of the electromagnetic spectrum.
- Radiation can be reflected or emitted from distant objects or materials, by which they may be identified and categorized by class/type, substance, and spatial distribution.

#### **Electromagnetic Spectrum**



 The entire array of electromagnetic waves comprises the electromagnetic (EM) spectrum.

# Radiation 1/2

- Unless it has a temperature of absolute zero (-273°C) an object *reflects*, *absorbs*, and *emits* energy in a unique way, and at all times.
- This energy, called *electromagnetic radiation*, is emitted in *waves* that are able to transmit energy from one place to another.
- These waves originate from billions of vibrating electrons, atoms, and molecules, which emit and absorb electromagnetic radiation in unique combinations of wavelengths.
- The amount of electromagnetic radiation an object emits depends primarily on its temperature. The higher the temperature of an object, the faster its electrons vibrate and the shorter its peak wavelength of emitted radiation

# Radiation 2/2

The fundamental unit of electromagnetic phenomena is the quantum, the smallest possible amount of electromagnetic energy of a particular wavelength.

Quantum, move in the vacuum at the speed of light, ( $c = 2,99793 \times 10^8 \text{ ms}^{-1}$ ) in the form of waves and very close to it in a real medium.

# The energy of a quantum determines the frequency (and wavelength) of radiant energy that is associated with it.

The greater the energy of the quantum, the greater the frequency of radiant energy and vice versa.

$$\Delta E = h\upsilon$$

$$\Delta E = hc / \lambda = hc\kappa$$

$$h (Planck const.) = 6.626 \times 10^{-34} Js$$

$$Wave Number \kappa = 1/\lambda$$

# **Radiative interactions**

Radiation can interact with atmospheric gases in five ways:

- 1. Ionization-dissociation interactions
- 2. Electronic transitions
- 3. Vibrational transitions
- 4. Rotacional transitions and
- 5. Forbidden transitions

Vibrational transitions occur mostly in the IR

Rotacional transitions occur in the far IR and microwave

and both can occur simultaneously

# **Electromagnetic Waves**



The waves are called electromagnetic because they consist of combined electric and magnetic waves that result when a charged particle (electron) accelerates.

# **Remote sensing of the atmosphere**

- The basic principle associated with remote sensing of the atmospheric temperature and humidity structure involves the interpretation of radiometric measurements of electromagnetic radiation in specific spectral intervals which are sensitive to some physical aspects of the medium.
- More specifically, at any wavenumber (or wavelength) in the infrared or microwave regions where an atmospheric constituent absorbs radiation, it also emits thermal radiation according to Kirchhoff's Law.
- Since the radiance leaving the atmosphere is a function of the distribution of the emitting gases and of temperature throughout the atmosphere, measurements of radiance contain some information on both these quantities.

# **Remote Sensing Methods**



- Passive instruments detect natural energy that is reflected or emitted from the observed scene.
- Active instruments provide their own energy (electromagnetic radiation) to illuminate the object or scene they observe. They send a pulse of energy from the sensor to the object and then receive the radiation that is reflected or backscattered from that object.

**Monochromatic radiance** 

The most **fundamental radiation unit for satellite meteorology** is the **monochromatic radiance**.



$$L_{\lambda} = \frac{\delta E_{\lambda}}{\cos\theta \, dA \, dt \, d\Omega \, d\lambda} \tag{1}$$

[Watt sr<sup>-1</sup> m<sup>-2</sup> µm<sup>-1</sup>]

**Radiance** is the amount of energy crossing, in a time interval *dt*, and in the wavelength interval  $d\lambda$ , in a differential area *dA*, at an angle  $\theta$ , to the normal to *dA*, confined to a solid angle  $d\Omega$ 

**Blackbody Radiation 1/2** 



K = Boltzman constant =  $1.380658 \times 10^{-23} \text{ J K}^{-1}$ 

c<sub>1</sub>= 1.1910439 x 10<sup>-16</sup> W m<sup>2</sup> sr<sup>-1</sup>, c<sub>2</sub>= 1.438769 x 10<sup>-2</sup> m K. **The Planck Function** 

$$B_{\lambda}(T) = \frac{2hc^2}{exp(\frac{hc}{\lambda kT}) - 1} \lambda^{-5}$$
(2)

$$B_{\lambda}(T) = \frac{c_1}{exp(\frac{c_2}{\lambda T}) - 1} \lambda^{-5}$$
(3)

Wien Law



### Blackbody Radiation 2/2

Raleigh - Jeans approx.

$$B_{\lambda}(T) = \frac{c_1}{c_2} \lambda^{-4} T$$
(5)

It is good for Earth-atmosphere T, and  $\lambda$  (mm – cm)

Black Body Monochromatic Exitance

$$M_{BB\lambda} = \pi B_{\lambda}(T)$$
 (6)

• Black Body Total Exitance  $M_{BB} = \sigma T^4$  (7)

 $\sigma$ : cte. de *Stefan-Boltzmann* = 5.67051 x 10-3 W m<sup>-2</sup> K<sup>-4</sup>



### **Absortion and Transmission of Monocromathic Radiation**



• The transmittance,  $\tau_{\lambda}$ , is a function of the distribution of the temperature and the mixing ratio of the absorbing gas with eight.

#### The Equation for Radiative Transfer 1/5

The Equation of energy transfer, for monochromatic radiation, upwelling through a thin atmospheric layer on its way to satellite, in which emission as well as absorption takes place, but not scattering, is expressed by:



### The Equation for Radiative Transfer 2/5

The radiative transfer equation becomes:

$$\mu \frac{dL_{\lambda}}{d\delta_{\lambda}} = -L_{\lambda}(\theta, \phi) + B_{\lambda}(T)$$
(16)

which is known as Schwarzchild's equation

The infrared (IR) radiance observed by a satellite (in the absence of scattering) can be calculated integrating *Schwarzchild's* equation from the Earth's surface  $(\delta_{\lambda} = 0)$  to the satellite  $(\delta_{\lambda} = \delta_{0})$ :

$$L_{\lambda} = L_0 \exp\left(-\frac{\delta_0}{\mu}\right) + \int_0^{\delta_0} \exp\left(-\frac{(\delta_0 - \delta_{\lambda})}{\mu}\right) B_{\lambda}(T) \frac{d\delta_{\lambda}}{\mu}$$
(17)

This equation forms the basis for sounding the atmosphere and for corrections necessary for surface parameter estimation.

### The Equation for Radiative Transfer 3/5



Is the portion of the radiance emitted by the layer which reaches the satellite The Equation for Radiative Transfer 3/5

$$L_{\lambda} = L_0 \exp\left(-\frac{\delta_0}{\mu}\right) + \int_0^{\delta_0} \exp\left(-\frac{(\delta_0 - \delta_{\lambda})}{\mu}\right) B_{\lambda}(T) \frac{d\delta_{\lambda}}{\mu}$$
(17)

$$L_{\lambda} = L_0 \tau_{0\lambda}^{\frac{1}{\mu}} + \int_0^{\delta_0} \tau_{\lambda}^{\frac{1}{\mu}} B_{\lambda}(T) \frac{d\delta_{\lambda}}{\mu}$$
(18)

Weighting function

$$\tau_{\lambda}^{\frac{1}{\mu}} \frac{d\delta_{\lambda}}{\mu} = \frac{d\tau_{\lambda}^{\frac{1}{\mu}}}{d\delta_{\lambda}} = W_{\lambda}(\delta_{\lambda}, \mu)$$
(19)

$$L_{\lambda} = L_0 \tau_{0\lambda}^{\frac{1}{\mu}} + \int_0^{\delta_0} W_{\lambda}(\delta_{\lambda}, \mu) B_{\lambda}(T) \frac{d\delta_{\lambda}}{\mu}$$
(20)

### The Equation for Radiative Transfer 4/5

The contribution from the lower radiating surface (either land or sea) can be expressed as:

$$L_0 = \varepsilon_{s\lambda} B_\lambda(T_s) \tag{21}$$

 $\epsilon_{s\lambda}$  is its emissivity,  $T_s$  is the *skin temperature* (the temperature of the ground itself); so that finally

$$L_{\lambda} = \varepsilon_{s\lambda} B_{\lambda}(T_{s}) \tau_{0\lambda}^{\frac{1}{\mu}} + \int_{0}^{\delta_{0}} W_{\lambda}(\delta_{\lambda}, \mu) B_{\lambda}(T) \frac{d\delta_{\lambda}}{\mu}$$
(22)

The contribution of each layer of the atmosphere, which optical depth is  $\delta_{\lambda}$  centered at  $\delta$ , to the integrated upward radiance L<sub> $\lambda$ </sub> emerging to space, is hence expressed by a blackbody contribution weighted according to W.

The layers for which W attains largest values are the ones who contribute most to the integral value at the top.

Equation (22) can also be expressed using *z*, *pressure*, *lnp*, or any function which is monotonic in height, as vertical coordinate

#### The Equation for Radiative Transfer 5/5

Water reflects as much as 60% of the **microwave radiation** incident on it.

Then, the surface radiance  $L_0$ , is given by two terms,

- 1. the radiation emitted by the surface and
- 2. the reflected sky radiance:

$$L_0 = \varepsilon_{s\lambda} B_{\lambda}(T_s) + (1 - \varepsilon_{s\lambda}) L_{sky}$$
(23)

In the microwave region of the spectrum, the weighting function must be modified for soundings made over water.

### **Weighting Functions**



 $\left| W_{\lambda}(p) = \left( \frac{d\tau_{\lambda}(p)}{dp} \right) \right|$ 

Many overlapping weighting functions are necessary to derive a temperature profile with high vertical resolution

# **Weighting Functions**

Example of normalized weighting functions for the High Resolution Infrared Sounder (HIRS) on NOAA satellites.



- Each function indicates the relative contribution of the atmosphere from a given level to the radiance observed at the satellite through the numbered channel.
- A narrower weighting function means information comes from a thinner slice of the atmosphere.

#### The direct and inverse problems

The instrument makes measurements of radiance in a number of channels.

For each channel, we can write a radiative transfer equation.

This equation expresses the DIRECT PROBLEM for the channel, i.e. given the state of the atmosphere, the solution of this equation tells us the radiance incident at the satellite in this channel.

However, when presented with **satellite measurements**, we are faced with the INVERSE PROBLEM: given the measurements ( $L_{\lambda}$ ), what is the state of the atmosphere (in terms of its vertical profiles of temperature and constituents).

#### **Spectral Distribution of Radiance Leaving the Atmosphere**



- Emission spectra of the earth and atmosphere as measured from NIMBUS-4 InfraRed Interferometer Spectrometer (IRIS) from about 7 to 20 µm.
- Radiance units in milliWatts /m<sub>2</sub> cm<sup>-1</sup> sr.
- Planck function curves for various blackbody temperatures are shown as dashed lines.
- The spectral range shown covers an important domain used for atmospheric sounding purposes:

- the  $CO_2$  absorption band centered at 672 cm<sup>-1</sup> (15 mm),

- the window region from 1050 to 770 cm<sup>-1</sup> (about 9.5 to 13 mm), and
- the ozone absorption band around 1040 cm<sup>-1</sup> (9.6 mm).

#### **Atmospheric Sounders**

- Measure upwelling thermal radiance in a number of spectral channels
- Most channels are in opaque spectral regions that do not see the surface
- Provide information about atmospheric temperature and constituent profiles
- Channels are characterized by central wavenumber ( $\kappa$ ) and band pass  $\Delta \kappa$
- Fields of view (FOV's) are roughly 15 km at nadir
- IR and microwave sounders are complementary and often fly together



EARTH EMITTED SPECTRA

# High Spectral Resolution IR Sounding from Space

AIRS, IASI, soon followed by CrIS

**AIRS (Atmospheric Infrared Sounder)** 

**IASI (Infrared Atmospheric Sounding Interferometer)** 

CrIS (Cross-track Infrared Sounder)

### **Advantages of High Spectral Resolution**

- High spectral resolution means absorption features due to single lines can be observed
- Many channels are observed
- Allows for selectivity of channels to be used
- Best channels are primarily sensitive to absorption by a single species "Fixed" gases - CO<sub>2</sub>, N<sub>2</sub>O - for temperature sounding H<sub>2</sub>O, O<sub>3</sub>, CH<sub>4</sub>, CO for constituent profiles Window (relatively transparent) channels for surface parameters
- Best channels are usually in line wings or on line centers Channels in line wings have sharp W(p) Channels on line centers are most sensitive to trace gas absorption Channels with redundant information can be used together to reduce noise

### **Recent and Scheduled Future IR Sounders**

HIRS2	1979 – present TIROS N NOAA 18
	19 channel IR radiometer 667 cm <sup>-1</sup> - 2750 cm <sup>-1</sup> (15 $\mu$ m - 3.6 $\mu$ m)
	Spectral resolution $\Delta \kappa$ from 10 cm <sup>-1</sup> - 25 cm <sup>-1</sup>
	Spatial resolution ≈ 15 km at hadir from 824 km orbit
AIRS	Launched on Eos Aqua in May 2002
	2360 channel grating detector array spectrometer 650 cm <sup>-1</sup> - 2665 cm <sup>-1</sup>
	Spectral resolution $\Delta \kappa$ from 0.5 cm <sup>-1</sup> - 2.2 cm <sup>-1</sup>
	Spatial resolution ≈ 13 km at nadir from 705 km orbit
IASI	Launched on Metop 2 in October 2006
	8461 channel interferometer 645 cm <sup>-1</sup> - 2760 cm <sup>-1</sup>
	Spectral resolution $\Delta \kappa = 0.5 \text{ cm}^{-1}$
	Spatial resolution ≈ 12 km at nadir - not contiguous
CrIS	Scheduled to fly on NPP and NPOESS
	Interferometer - similar spectral characteristics to AIRS

### **IR and Microwave Observations are Very Complementary**

#### **IR Strengths**

- Best vertical resolution (accuracy) of T(p) in mid-lower troposphere
- Water vapor profile information up to the tropopause
- Best information about surface skin temperature
- Trace gas profile information

#### **IR Limitations**

Most channel observations are strongly affected by clouds

#### **MW Strengths**

- MW observations are not affected by most clouds
- MW observations help in accounting for effects of clouds on IR observations
- Microwave soundings of T(p), q(p) can be produced in overcast conditions

#### **MW Limitations**

• Channels sensitive to lower troposphere are highly affected by variable surface emissivity

### **IR and Microwave Observations are Very Complementary**

#### **AIRS Like Sounding Channels AMSU Temperature Sounding Channels** 1 15 Channel Frequency (cm<sup>-1</sup>) Channel Frequency (GHz) 634.30 6 50.300 11 646.60 52.850 5 654.35 53.400 8 666.15 10 54.350 3 3 668.00 11 54.900 669.45 12 9 55.500 2384.00 91 92 93 94 95 $57.968185 = f_0$ 10 11 12 13 14 14 2386.10 $f_0 \pm 0.246$ $f_0^{-\pm} 0.3557 \pm .060$ 2388.20 5 5 2390.20 2392.35 $f_0 \pm 0.3557 \pm .022$ $f_0 \pm 0.3557 \pm .007$ 96 2394.50 15 $f_0 \pm 0.3557 \pm .002$ 13 10 10 12 12 Pressure (mb) Pressure (mb) 20 20 11 10 50 50 10 -8 100 100 9 200 200 6 8 91 92 500 500 93 1000 1000 0.5 1.0 0.5 1.0 0.0 1.5 1.5 0.0

**TEMPERATURE WEIGHTING FUNCTIONS** 



#### Scan Characteristics



http://airs.jpl.nasa.gov/technology/how\_AIRS\_works/

# **AIRS general characteristics**

- AIRS is a high spectral resolution spectrometer with 2378 bands in the thermal infrared (3.7 - 15.4 μm) and 4 bands in the visible (0.4 - 1.0 μm).
- These ranges have been specifically selected to allow determination in the troposphere of:
  - atmospheric temperature with an accuracy of 1°C in layers 1 km thick,
  - humidity with an accuracy of 20% in layers 2 km thick
  - In the cross-track direction, a ±49.5 degree swath centered on the nadir.
- Each scan line contains 90 IR footprints, with a resolution of
  - 13.5 km at nadir and
  - 41km x 21.4 km at the scan extremes

from nominal 705.3 km orbit.

• The Vis/NIR spatial resolution is approximately 2.3 km at nadir.

### **AIRS Spatial Coverage**



Only 5% of the globe is clear at 14 km IFOV

**AIRS Spectral Coverage** 

# spectral ranges: 3.7 - 4.61 $\mu m,$ 6.2 - 8.22 $\mu m$ , 8.8 - 15.4 $\mu m;$



### **AIRS versus MODIS**



#### **IASI Scan Characteristics**







EVOF consists of 2x2 matrix of IFOV 30 EFOV per scan line IFOV size at nadir: 12 km IFOV at edge across/along track: 39/20 km Swath:  $\pm$  48.333 deg Swath width:  $\pm$  1100 km Mean Altitude approx 817 km

## **IASI versus HIRS**



A comparison of a portion of a typical spectrum as would be observed by IASI with the instrument spectral response functions (ISRF) for HIRS in this region. The smoothing out of spectral features that would result from applying the HIRS ISRF is clear. A comparison of the retrieval accuracies expected for HIRS and IASI for a mid-latitude summer profile with *a priori* information provided by a numerical weather prediction model.





# **Spectral Absorption Features and Resolutions**

Some examples



#### AIRS & CrIS













#### imager



# **Infrared Spectral Sounding Profile**



Moisture Weighting Functions

High spectral resolution advanced sounder will have more and sharper weighting functions compared to current GOES sounder. Retrievals will have better vertical resolution.





H2O Weighting Function AIRS Channels : 794cm<sup>-1</sup>



H2O Weighting Function AIRS Channels : 1387cm<sup>-1</sup>



# Why High Spectral Resolution?

- Improved spectral resolution results in:
  - Sharper weighting functions
  - "Clean" channels (e.g. temperature channels not contaminated by water vapor lines)
- Many channels with sharper weighting functions combined with low noise improves vertical resolution
- Retrieval accuracy is greatly improved (temperature, moisture, skin temperature, and surface emissivity)
- Resolving individual water vapor absorption lines allows detection of temperature inversions
- High spectral resolution allows the retrieval of trace gases

# Why GEO?

- AIRS and IASI have resulted in significant improvements in medium range global forecasts (nearly half day improvement in the 5-day forecast), however much smaller impacts in shorter range forecasts
- A GEO high spectral resolution sounder, because of high temporal refresh, is expected to significantly improve nowcasting (0 – 1 hour), and nearcasting (1 – 6 hours) and short range forecasts (6 – 48 hours)

# Benefits of High Vertical Resolution Soundings from GEO

- Provides the spatial coverage and temporal refresh to resolve the preconvective storm environment for greatly improved prediction of severe weather
- One GEO provides hemispheric coverage with a refresh rate limited to technology
  - Nominally 1 hour hemispheric, and 5 minutes mesoscale (1000 x 1000 km area)
- One LEO provides global coverage with refresh of 12 hours
  - Cannot provide near continuous monitoring of severe weather



CIMSS

# Importance of Low-level Water Vapor Information

- Accurate low level water vapor Information is critical because it is the fuel for deep convection
- Large variations in the atmosphere's ability to support strong convection via low level moisture exists over scales of 25 km or less
  - These moisture fields evolve rapidly as circulations develop and as low level moisture is advected into a region
  - Numerical simulations and field experiments suggest that changes in mixing ratio as small at 1 g /kg have significant effects on the developing convection
- Geostationary satellites: capable of mapping at the required high temporal and spatial resolution the state and evolution of the convective environment on the scales necessary to observe it over large areas, accurately, on demand

#### This capability requires a hyperspectral sounder in geostationary orbit!

#### Importance of the Vertical Distribution of Water Vapor for Convective Development and Evolution



Numerous studies have highlighted the importance of knowing the distribution of water vapor in predicting convective development and evolution. The location where convection initiates is denoted by the upward bulge in the isopleths of mixing ratio (q) and  $\theta_E$ .



All figures Murphey et al. 2006 MWR

# Non-traditional Sounding Applications

- -Aerosol detection
- -Volcanic ash detection
- -Cirrus detection
- -Trace gases (e.g. carbon products)

# Separation of Dust from Cirrus



Imaginary Index of Refraction of Ice and Dust

# Summary

- Hyperspectral infrared observations improve vertical resolution and accuracy of temperature and moisture soundings and provide new products such as greenhouse gas estimates
- Microwave and/or infrared imagers need to accompany advanced infrared sounders to provide cloud-clearing capabilities
- AIRS continuity will be provided by NPOESS CrIS in the 13:30 orbit
- IASI provides hyperspectral observations in the 9:30 orbit
- All three will improve medium range weather forecasting and climate change monitoring
- An advanced sounder in geostationary orbit with significantly improved temporal sampling (minutes as opposed hours) is important for improving nowcasting and shorter range forecasts

#### **COMET Module**

"Advanced Satellite Sounding: The Benefits of the Hyperspectral Observation". Lecture presented by Dr. Mitch Goldberg

http://meted.ucar.edu/npoess/hyperspectral/sounding\_benefits

**Next Generation Geostationary Satellites** The next generation GOES will begin with GOES-R, which is currently scheduled to launch in the year 2015.

**Next generation of low earth orbiting environmental satellites** The National Polar-orbiting Operational Environmental Satellite System (NPOESS) is the next generation of low earth orbiting environmental satellites.

http://www.ipo.noaa.gov/index.php

#### **Advanced infrared sounders**

http://www.metoffice.gov.uk/research/nwp/satellite/infrared/sounders/index.html

